INTERNET FORM NLRB-508 (2-08)

#### FORM EXEMPT LINDER 44 LLS C 3513

#### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

#### CHARGE AGAINST LABOR ORGANIZATION OR ITS AGENTS

DO NOT WRITE IN THIS SPACE				
Case	32-CB-184551	Date Filed	09/19/2016	

INSTRUCTIONS: File an original with NLRB Regional Director for the	e region in which the alleged of	unfair labor	practice occurr	red or is occurring.
1. LABOR ORGANIZATION OR IT	S AGENTS AGAINST WHICH	H CHARGE	IS BROUGHT	
a. Name	-	b. Union	Representative	to contact
National Production Workers Local 707		(b) (6),	(b) (7)(C)	
c. Address (Street, city, state, and ZIP code)		d. Tel. No. (630) 5	o. 75-0560	e. Cell No.
1420 Kensington Road, Suite 335		f. Fax No		g. e-Mail
Oak Brook, IL 60523		(630) 5	75-0570	
h. The above-named organization(s) or its agents has (have) engaged subsection(s) (list subsections) (1)(a) are unfair practices affecting commerce within the meaning of the had meaning of the Act and the Postal Reorganization Act.	+ f H - N - N - C		D-1-25 A-4	
2. Basis of the Charge (set forth a clear and concise statement of the	ne facts constituting the allege	d unfair lab	or practices)	
Within the prior six months, Production Workers Local 7 Section 7 rights by threatening employees with retaliating employees.				n the exercise of their
Name of Employer  RMS/Pacific Rail Services		4a. Tel. N		b. Cell No. (973) 390-1033 d. e-Mail
RIVIS/Pacific Rail Services		(973) 729-8438		u. e-Iviaii
5. Location of plant involved (street, city, state and ZIP code) Union Pacific Intermodal Facility			6. Employer representative to contact	
1776 Middle Harbor Road, Oakland, CA 94607			David M	lazella, VP of Term. Ops
7. Type of establishment (factory, mine, wholesaler, etc.)  8. Identify principal product of		or service	9. Numbe	or of workers employed
Rail Services	Rail Services		24	
10. Full name of party filing charge		11a. Tel.		b. Cell No.
International Brotherhood of Teamsters Local 70		(510) 56 c. Fax No		d. e-Mail
11. Address of party filing charge (street, city, state and ZIP code.)		(510) 56	9-1906	ibt70@teamsterslocal70.org
400 Roland Way, Oakland, CA 94621	'	L		
12. DECLARATION  declare that Lhave read the above charge and that the statements therein are true to the best of my knowledge and belief.			Tel. No. (510) 625-9700	
By (signature of representative or person making charge) Sheila K (Print/type	. Sexton, Attorney		ell No.	
	name and the or onice, if any		Fax No. (510) 625-8275	
Beeson, Tayer & Bodine		e	-Mail	
Address 483 - 9th Street, 2nd Flr, Oakland, CA 94607	(date) 9/19/1	16	ssexton(	@beesontayer.com

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.





REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315 Download NLRB Mobile App

September 19, 2016

(b) (6), (b) (7)(C)
NATIONAL PRODUCTION
WORKERS UNION LOCAL

WORKERS UNION LOCAL 707 1420 KENSINGTON RD STE 335 OAK BROOK, IL 60523-2147

> Re: National Production Workers Local 707 (RMS/Pacific Rail Services) Case 32-CB-184551

#### Dear (b) (6), (b) (7)(C)

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If this Board agent is not available, you may contact Supervisory Field Examiner Hokulani Valencia whose telephone number is (510)671-3047.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <u>www.nlrb.gov</u>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541 offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

George Velastegui Regional Director

Denge Velasteger

Enclosure: Copy of Charge



REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315 Download NLRB Mobile App

September 19, 2016

DAVID MAZELLA, VP OF TERM. OPS RMS/PACIFIC RAIL SERVICES UNION PACIFIC INTERMODAL FACILITY 1776 MIDDLE HARBOR ROAD OAKLAND, CA 94607

**Re:** National Production Workers Local 707

(RMS/Pacific Rail Services)

Case 32-CB-184551

Dear Mr. Mazella:

Enclosed is a copy of a charge that has been filed in this case. Although this charge is not filed against you, it is necessary for us to obtain information from you to determine whether we have jurisdiction over this case. In the future we may also need to obtain evidence from you concerning the merits of the charge. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If this Board agent is not available, you may contact Supervisory Field Examiner Hokulani Valencia whose telephone number is (510)671-3047.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence:</u> We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

If, during the investigation of this matter, the Board agent asks for evidence, I strongly urge you or your representative to promptly present all evidence relevant to the investigation. In this way, the case may be fully investigated more quickly.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at a hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. On all your correspondence regarding the charge, please include the case name and number indicated above.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures, offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours.

George Velastegui Regional Director

Lenge Velastegui

#### Enclosures

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: DAVID MAZELLA, VP OF TERM. OPS RMS/PACIFIC RAIL SERVICES 119 SENECA LAKE RD SPARTA, NJ 07871-2840





REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315 Download NLRB Mobile App

September 19, 2016

(b) (6), (b) (7)(C)

TEAMSTERS LOCAL 70, INTERNATIONAL BROTHERHOOD OF TEAMSTERS 400 ROLAND WAY OAKLAND, CA 94621-2012

**Re:** National Production Workers Local 707

(RMS/Pacific Rail Services)

Case 32-CB-184551

#### Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on September 19, 2016 has been docketed as case number 32-CB-184551. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If this Board agent is not available, you may contact Supervisory Field Examiner Hokulani Valencia whose telephone number is (510)671-3047.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or at the Regional office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website <a href="www.nlrb.gov">www.nlrb.gov</a> or from the Regional Office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Lenge Velastegui

George Velastegui Regional Director

cc: SHEILA K. SEXTON, ESQ.
BEESON, TAYER & BODINE
483 - 9TH STREET 2ND FLOOR
OAKLAND, CA 94607-4051

Copy of charge only sent to:

DAVID A. ROSENFELD, ESQ. WEINBERG ROGER & ROSENFELD 1001 MARINA VILLAGE PKWY. STE. 200 ALAMEDA, CA 94501-6430

UNITED STATES OF AMERICA	DO NOT WRITE IN THIS SPACE			
NATIONAL LABOR RELATIONS BOARD	Case	Date filed		
FIRST AMENDED CHARGE AGAINST LABOR ORGANIZATION OR ITS AGENTS	32-CB-184551	12/12/2016		
INSTRUCTIONS: File an original of this charge with the NLRB Regional Director of the region in which the alleged unfair labor practice occurred or is occurring.				
LABOR ORGANIZATION OR ITS AGENTS AGA	AINST WHICH CHARGE IS BRO	DUGHT		
a. Name NATIONAL PRODUCTION WORKERS UNION, LOCAL 707	(b) (6), (b)	Contact		
c. Address 1420 Kensington Rd Ste 335, Oak Brook, IL 60523-2147	d. Tel. No. (630)575-0560	e. Cell No.		
	f. Fax No. (630)575-0570	g. e-Mail (b)(6), (b)(7)(C)		
h. The above-named labor organization or its agents have engaged in and are engaging in unfair labor practices within the meaning of section 8(b), subsection(s) (1)(A) of the National Labor Relations Act, and these unfair labor practices are unfair practices affecting commerce within the meaning of the Act, or are unfair practices affecting commerce within the meaning of the Act and the Postal Reorganization Act.				
2. Basis of the Charge (set forth a clear and concise statement of the facts constituting the alleged unfair labor practices)				
Within the past six months, the above-named labor organization of the involved employer, RMS/Pacific Rail Services, and by managed in the came members of NPWUL coal 707	of the Act by receiving a naking promises of bene	nd accepting assistance from		

. Name of Employer		4a. Tel. No.	4b. Cell No.	
RMS/Pacific Rail Services		(973)390-1033	(973)390-1033	
		4c. Fax No.	4d. e-Mail	
		(973)729-8438		
5. Location of Plant involved (street, city, state, and ZIP co	ode)	Employer representative to contact		
Union Pacific Intermodal Facility, 1776 Middle Harbor Road, Oakland, CA 94607		David Mazella, VP of Term. Ops		
7. Type of Establishment (factory, mine, wholesaler)	8. Principal product	or service	Number of Workers employed	
Port	Rail Services		24	
10. Full name of party filing charge		11a. Tel. No.	11b. Cell No.	
INTERNATIONAL BROTHERHOOD OF TEA	AMSTERS	(510)569-9317	(510)406-3688	
LOCAL 70		11c. Fax No.	11d e-Mail	
	400	(510)569-1906	ibt70@teamsterslocal70.org	
11. Address of party filing charge (street, city, state, and Z	IP code)			
400 Roland Way, Oakland, CA 94621-2012				
	12. DECLARAT	ION		
declare that I have read the above charge and	that the statements	therein are true to the bes	t of my knowledge and belief.	
			Tel No.	
1			(510) 625-9700	
			Cell No.	
By:	Sheila	a Sexton, Attorne	e <b>y</b>	
(signature of representative or person making charge)	Print/type n	ame and title or office, if any		
			(510) 625-8275	
Address		Date:	e-Mail	
Address:		Date.	ssexton@beesontayer.com	
Beeson, Tayer & Bodine		12/12/16	336×1011@bee3011laye1.com	
483 9 <sup>th</sup> Street, 2 <sup>nd</sup> Floor, Oakland, CA 94607		12/12/16		
			1	

### WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.





REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315 Download NLRB Mobile App

December 12, 2016

(b) (6), (b) (7)(C)

NATIONAL PRODUCTION WORKERS UNION, LOCAL 707 1420 KENSINGTON RD STE 335 OAK BROOK, IL 60523-2147

Re: National Production Workers Local 707 (RMS/Pacific Rail Services)

Case 32-CB-184551

Case 32-CB-

Dear (b) (6), (b) (7)(C)

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If the agent is not available, you may contact Supervisory Field Examiner Hokulani Valencia whose telephone number is (510)671-3047.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

**Procedures:** Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours, Cynthia Rence

Cynthia Rence

Acting Regional Director

Enclosure: Copy of first amended charge





REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

Download **NLRB** Mobile App

December 12, 2016

DAVID MAZELLA, VP OF TERM. OPS RMS/PACIFIC RAIL SERVICES UNION PACIFIC INTERMODAL FACILITY 1776 MIDDLE HARBOR ROAD OAKLAND, CA 94607

> Re: National Production Workers Local 707

> > (RMS/Pacific Rail Services)

Case 32-CB-184551

Dear Mr. Mazella:

Enclosed is a copy of the first amended charge that has been filed in this case.

**Investigator**: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If the Board agent is not available, you may contact Supervisory Field Examiner Hokulani Valencia whose telephone number is (510)671-3047.

**Presentation of Your Evidence:** As you know, we seek prompt resolutions of labor disputes. Therefore, if the Board agent asks you for evidence with respect to the allegations in the first amended charge, I urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

**Procedures:** Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

> Very truly yours, Cynthia Rence

Cynthia Rence

Acting Regional Director

Enclosure: Copy of first amended charge

DAVID MAZELLA, VP OF TERM. OPS cc:

RMS/PACIFIC RAIL SERVICES

119 SENECA LAKE RD SPARTA, NJ 07871-2840





REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315 Download NLRB Mobile App

December 12, 2016

(b) (6), (b) (7)(C)

TEAMSTERS LOCAL 70, INTERNATIONAL BROTHERHOOD OF TEAMSTERS 400 ROLAND WAY OAKLAND, CA 94621-2012

**Re:** National Production Workers Local 707

(RMS/Pacific Rail Services)

Case 32-CB-184551

Dear (b) (6), (b) (7)(C)

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If the agent is not available, you may contact Supervisory Field Examiner Hokulani Valencia whose telephone number is (510)671-3047.

**Presentation of Your Evidence:** As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Procedures:</u> Your right to representation, the means of presenting evidence, and a description of our procedures, including how to submit documents, was described in the letter sent to you with the original charge in this matter. If you have any questions, please contact the Board agent.

Very truly yours,

Cynthia Rence

Acting Regional Director

Cynthia Rence

cc: SHEILA K. SEXTON, ATTORNEY BEESON, TAYER & BODINE 483 - 9TH STREET 2ND FLOOR

OAKLAND, CA 94607-4051

Copy of charge only sent to:

DAVID A. ROSENFELD, ESQ. WEINBERG ROGER & ROSENFELD 1001 MARINA VILLAGE PKWY. STE. 200 ALAMEDA, CA 94501-6430

# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD SETTLEMENT AGREEMENT

#### IN THE MATTER OF

National Production Workers Local 707 (RMS/Pacific Rail Services) Case 32-CB-184551

Subject to the approval of the Regional Director for the National Labor Relations Board, the Charged Party and the Charging Party **HEREBY AGREE TO SETTLE THE ABOVE MATTER AS FOLLOWS**:

**POSTING AND MAILING OF NOTICE** — After the Regional Director has approved this Agreement, the Regional Office will send copies of the approved Notice to the Charged Party in English and in additional languages if the Regional Director decides that it is appropriate to do so. A responsible official of the Charged Party will then sign and date those Notices and immediately post them in prominent places around its Oak Brook, Illinois facility, including all places where the Charged Party normally posts notices to members. The Charged Party will also provide no fewer than six Notice to Employees and Members with original signatures and dates to the Region for mailing to affected employees.

**COMPLIANCE WITH NOTICE** — The Charged Party will comply with all the terms and provisions of said Notice.

SCOPE OF THE AGREEMENT — This Agreement settles only the allegations in the above-captioned case(s), including all allegations covered by the attached Notice to Employees and Members made part of this agreement, and does not settle any other case(s) or matters. It does not prevent persons from filing charges, the General Counsel from prosecuting complaints, or the Board and the courts from finding violations with respect to matters that happened before this Agreement was approved regardless of whether General Counsel knew of those matters or could have easily found them out. The General Counsel reserves the right to use the evidence obtained in the investigation and prosecution of the above-captioned case(s) for any relevant purpose in the litigation of this or any other case(s), and a judge, the Board and the courts may make findings of fact and/or conclusions of law with respect to said evidence.

PARTIES TO THE AGREEMENT — If the Charging Party fails or refuses to become a party to this Agreement and the Regional Director determines that it will promote the policies of the National Labor Relations Act, the Regional Director may approve the settlement agreement and decline to issue or reissue a Complaint in this matter. If that occurs, this Agreement shall be between the Charged Party and the undersigned Regional Director. In that case, a Charging Party may request review of the decision to approve the Agreement. If the General Counsel does not sustain the Regional Director's approval, this Agreement shall be null and void.

AUTHORIZATION TO PROVIDE COMPLIANCE INFORMATION AND NOTICES DIRECTLY TO CHARGED PARTY — Counsel for the Charged Party authorizes the Regional Office to forward the cover letter describing the general expectations and instructions to achieve compliance, a conformed settlement, original notices and a certification of posting directly to the Charged Party. If such authorization is granted, Counsel will be simultaneously served with a courtesy copy of these documents.

$\operatorname{Yes}_{-/\mathrm{S}/}^{(b) (6), (b) (7)(C)}$	No
Initials	Initials

**PERFORMANCE** — Performance by the Charged Party with the terms and provisions of this Agreement shall commence immediately after the Agreement is approved by the Regional Director, or if the Charging Party does

not enter into this Agreement, performance shall commence immediately upon receipt by the Charged Party of notice that no review has been requested or that the General Counsel has sustained the Regional Director.

The Charged Party agrees that in case of non-compliance with any of the terms of this Settlement Agreement by the Charged Party, and after 14 days' notice from the Regional Director of the National Labor Relations Board of such non-compliance without remedy by the Charged Party, the Regional Director will issue a Complaint that includes the allegations covered by the Notice to Employees and Members, as identified above in the Scope of Agreement section, as well as filing and service of the charge(s), commerce facts necessary to establish Board jurisdiction, labor organization status, appropriate bargaining unit (if applicable), and any other allegations the General Counsel would ordinarily plead to establish the unfair labor practices. Thereafter, the General Counsel may file a Motion for Default Judgment with the Board on the allegations of the Complaint. The Charged Party understands and agrees that all of the allegations of the Complaint will be deemed admitted and that it will have waived its right to file an Answer to such Complaint. The only issue that the Charged Party may raise before the Board will be whether it defaulted on the terms of this Settlement Agreement. The General Counsel may seek, and the Board may impose, a full remedy for each unfair labor practice identified in the Notice to Employees and Members. The Board may then, without necessity of trial or any other proceeding, find all allegations of the Complaint to be true and make findings of fact and conclusions of law consistent with those allegations adverse to the Charged Party on all issues raised by the pleadings. The Board may then issue an Order providing a full remedy for the violations found as is appropriate to remedy such violations. The parties further agree that a U.S. Court of Appeals Judgment may be entered enforcing the Board Order ex parte, after service or attempted service upon Charged Party at the last address provided to the General Counsel.

**NOTIFICATION OF COMPLIANCE** — Each party to this Agreement will notify the Regional Director in writing what steps the Charged Party has taken to comply with the Agreement. This notification shall be given within 5 days, and again after 60 days, from the date of the approval of this Agreement. If the Charging Party does not enter into this Agreement, initial notice shall be given within 5 days after notification from the Regional Director that the Charging Party did not request review or that the General Counsel sustained the Regional Director's approval of this agreement. No further action shall be taken in the above captioned case(s) provided that the Charged Party complies with the terms and conditions of this Settlement Agreement and Notice.

Charged Party NATIONAL PRODUCTION UNION, LOCAL 707	WORKERS	Charging Party INTERNATIONAL BROTHER TEAMSTERS LOCAL 70	RHOOD OF
By: Name and Title	Date	By: Name and Title	Date
/s/(b) (6), (b) (7)(C)  Print Name and Title below  (b) (6), (b) (7)(C)	12/21/16 -	/s/ Sheila Sexton Print Name and Title below	12/28/16 —
Recommended By:	Date 12/21/16	Approved By:	Date 12/29/16
/s/ ALEXANDER M. HAJDUK Field Examiner		/s/ VALERIE HARDY-MAHONEY Acting Regional Director, Region 32	

#### (To be printed and posted on official Board notice form)

#### FEDERAL LAW GIVES YOU THE RIGHT TO:

- Form, join, or assist a union;
- Choose a representative to bargain with your employer on your behalf;
- Act together with other employees for your benefit and protection;
- Choose not to engage in any of these protected activities.

WE WILL NOT do anything to prevent you from exercising the above rights.

WE WILL NOT receive and/or accept any form of assistance or support from RMS/Pacific Rail Services (Employer), and our representatives will not be present during the Employer's job interviews with prospective employees or talk to those prospective employees after the job interviews.

**WE WILL NOT** ask employees employed by the Employer to sign authorization cards for us and/or become a member of our union while those employees are in the presence of the Employer's representatives.

**WE WILL NOT** tell employees that by signing authorization cards and/or becoming a member of our union they will secure employment or more favorable terms with the Employer.

**WE WILL NOT** in any like or related manner interfere with your rights under Section 7 of the Act.

		NATIONAL PRODUCTION WORKERS UNION,
		LOCAL 707
		(Labor Organization)
Dated:	By:	
		(Representative) (Title)

The National Labor Relations Board is an independent Federal agency created in 1935 to enforce the National Labor Relations Act. We conduct secret-ballot elections to determine whether employees want union representation and we investigate and remedy unfair labor practices by employers and unions. To find out more about your rights under the Act and how to file a charge or election petition, you may speak confidentially to any agent with the Board's Regional Office set forth below or you may call the Board's toll-free number 1-866-667-NLRB

(1-866-667-6572). Hearing impaired persons may contact the Agency's TTY service at 1-866-315-NLRB. You may also obtain information from the Board's website: www.nlrb.gov.

1301 Clay St Ste 300N Oakland, CA 94612-5224 **Telephone:** (510)637-3300

**Hours of Operation:** 8:30 a m. to 5 p m.

#### THIS IS AN OFFICIAL NOTICE AND MUST NOT BE DEFACED BY ANYONE

This notice must remain posted for 60 consecutive days from the date of posting and must not be altered, defaced or covered by any other material. Any questions concerning this notice or compliance with its provisions may be directed to the above Regional Office's Compliance Officer.

FORM NLRB-4723 (10-70)



# NOTICE TO MEMBERS AND EMPLOYEES



# POSTED PURSUANT TO A SETTLEMENT AGREEMENT APPROVED BY A REGIONAL DIRECTOR OF THE NATIONAL LABOR RELATIONS BOARD

AN AGENCY OF THE UNITED STATES GOVERNMENT

Case: 32-CB-184551

#### FEDERAL LAW GIVES YOU THE RIGHT TO:

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WE WILL NOT in any like or related manner interfere with your rights under Section 7 of the Act.

Date: JAM, 19, 2017

By: \_\_\_\_(b) (6), (b) (7)(C)

Title: \_\_\_(b) (6), (b) (7)(C)

The National Labor Relations Board is an independent Federal agency created in 1935 to enforce the National Labor Relations Act. It conducts secret-ballot elections to determine whether employees want union representation and it investigates and remedies unfair labor practices by employers and union. To find out more about your rights under the Act and how to file a charge or election petition, you may speak confidentially to an agent with the Board's Regional Office set forth below. You may also obtain information from the Board's Website: <a href="https://www.nlrb.gov">www.nlrb.gov</a> and the toll-free number (866) 667-NLRB (6572).

1301 Clay Street, Suite 300N Oakland, CA 94612-5211 Telephone: (510) 637-3300

Hours of Operation: 8:30 a.m. to 5:00 p.m.

Si quiere, se puede hablar en español con un agente de La Junta Nacional de Relaciones del Trabajo en confianza. La página electrónica de red de la Junta Nacional de Relaciones del Trabajo también tiene información en español: <a href="www.nlrb.gov">www.nlrb.gov</a> y el número libre de cargo es (866) 667-NLRB (6572).

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#### CERTIFICATION OF COMPLIANCE (PART ONE)

RE: National Production Workers Local 707 (RMS/Pacific Rail Services)
Case 32-CB-184551

(If additional space is needed to provide a full response, attach a sheet(s) with the necessary information.)

Physical Posting
The signed and dated Notice to Employees and Members in the above matter was posted on
(date) JAMUARY 19, 2017 at the following locations: (List specific places of posting)
MPWV OFFICE, 1420 KENSIMETON ROAD SVITE 335
OAK BROOK, 14 60523
Intranet Posting
The signed and dated Notice to Employees and Members in the above matter was posted on the Union's
Intranet/Website on (date) A copy of the intranet/website posting is attached.
Electronic Distribution
The signed and dated Notice to Employees and Members in the above captioned matter was distributed
electronically on (date) by the following means. (State means of distribution and
attach proof.)
Mailing
The signed and dated Notices to Employees and Members in the above captioned matter were mailed to
the Region on (date) for distribution to employees.
I have completed this Certification of Compliance and state under penalty of perjury that it is true and
CHARGED PARTY/RESPONDENT
(b) (c) (b) (7)(c)
$By:  D(O) \; D(O) \; D(O) \; D(O) $

(b) (6), (b) (7)(C)

Date: JAMUARY 19, 2017

This form should be returned to the Regional Office, together with <u>ONE</u> original Notice, dated and signed in the same manner as those posted, and <u>SIX</u> additional original Notices, dated and signed in the same manner as those posted for the mailing to the employees. If the Certification of Compliance Part One and signed Notice are returned via e-file or e-mail, no hard copies of the Certification of Compliance Part One or Notice are required. <u>Note: The SIX Notices that are to be mailed to employees must be sent to the Region via US Mail.</u>

FORM NLRB-4723 (10-70)



# NOTICE TO MEMBERS AND EMPLOYEES



# POSTED PURSUANT TO A SETTLEMENT AGREEMENT APPROVED BY A REGIONAL DIRECTOR OF THE NATIONAL LABOR RELATIONS BOARD

AN AGENCY OF THE UNITED STATES GOVERNMENT

Case: 32-CB-184551

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Date: JAM, 19, 2017

By: (b) (6), (b) (7)(C)

Title: (b) (6), (b) (7)(C)

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REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

Agent's Direct Dial: (510)671-3034

February 1, 2017

SHEILA K. SEXTON, ATTORNEY BEESON, TAYER & BODINE 483 - 9TH STREET 2ND FLOOR OAKLAND, CA 94607-4051

Re: National Production Workers Local 707

(RMS/Pacific Rail Services)

Case 32-CB-184551

Dear Ms. Sexton:

We have been advised that on January 19, 2017, the Charged Party Union posted the signed and dated Notice to Employees and Members in this matter at the following location:

(1) MPWV Office,

1420 Kensington Road, Suite 335

Oakbrook, IL, 60523

If you have any information to the contrary, you should inform me promptly. As you know, the Charged Party Union is obligated to keep the notices posted continuously for a period of 60 days from the date of posting.

Any complaints regarding posting or any other aspects of compliance in this matter should be promptly directed to this office, in writing, together with any evidence you may have.

Per request, I am also enclosing a copy of the signed and dated Notice.

Very truly yours,

/s/ Paloma Loya

PALOMA LOYA Compliance Officer

Enclosure: Notice to Employees and Members



REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

Agent's Direct Dial: (510)671-3034

March 30, 2017

SHEILA K. SEXTON, ATTORNEY BEESON, TAYER & BODINE 483 - 9TH STREET, 2ND FLOOR OAKLAND, CA 94607-4051

> National Production Workers Local 707 Re:

> > (RMS/Pacific Rail Services)

Case 32-CB-184551

Dear Ms. Sexton:

Our records show that the Charged Party has complied with the terms of the Settlement Agreement and the case is now ready to be closed. Unless you advise us and submit evidence by April 6, 2017 that the Settlement Agreement has not been complied with, I will assume that you are satisfied with the compliance by the Charged Party and will recommend the case be closed.

Very truly yours,

PALOMA LOYA Compliance Officer

(b) (6), (b) (7)(C) TEAMSTERS UNION LOCAL 70.

INTERNATIONAL BROTHERHOOD

400 ROLAND WAY OAKLAND, CA 94621-2012



REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

April 10, 2017

SHEILA K. SEXTON, ATTORNEY BEESON, TAYER & BODINE 483 - 9TH STREET 2ND FLOOR OAKLAND, CA 94607-4051

#### (b)(6), (b)(7)(C)

TEAMSTERS UNION LOCAL 70, INTERNATIONAL BROTHERHOOD OF TEAMSTERS 400 ROLAND WAY OAKLAND, CA 94621-2012

Re: National Production Workers Local 707 (RMS/Pacific Rail Services)

Case 32-CB-184551

Dear Ms. SEXTON and (b)(6), (b)(7)(C)

The above-captioned case has been closed on compliance. Please note that the closing is conditioned upon continued observance of the informal Settlement Agreement.

Very truly yours,

/s/ Valerie Hardy-Mahoney

Valerie Hardy-Mahoney Regional Director

ce: (b) (6), (b) (7)(C)

NATIONAL PRODUCTION WORKERS
UNION, LOCAL 707
1420 KENSINGTON RD STE 335
OAK BROOK, IL 60523-2147

PATRICK J. CALIHAN, ESQ. LAW OFFICES OF PATRICK J. CALIHAN 53 W. JACKSON BLVD. SUITE 1534 CHICAGO, IL 60604

DAVID MAZELLA, VP OF TERM. OPS RMS/PACIFIC RAIL SERVICES UNION PACIFIC INTERMODAL FACILITY 1776 MIDDLE HARBOR ROAD OAKLAND, CA 94607

DAVID MAZELLA, VP OF TERM. OPS RMS/PACIFIC RAIL SERVICES 119 SENECA LAKE RD SPARTA, NJ 07871-2840